

# **How to Effectively Reduce Collection System I&I Flows**

Presented by  
**Rich Gushman, PE**



**GIBBS & OLSON**  
[www.gibbs-olson.com](http://www.gibbs-olson.com)

# I&I Presentation Topics

- **Definition and Sources**
- **History of Removal**
- **What is excessive**
- **Pipe materials and age help identify effective I&I removal opportunities**
- **The impact of side sewer laterals**
- **Prioritizing system or basin wide removal**
- **Effective I&I removal project example**



# I & I = Inflow & Infiltration

- **Inflow is storm (surface) water that enters the sewer system during rainfall events or as snow melt occurs.**
  - Inflow may come from sources on both private and public property.
  - Inflow is more directly related to storm events.
  - Specific events last for a short period of time (from hours to a few days).

# I & I = Inflow & Infiltration

- **Infiltration** is groundwater that enters the sewer system through defective manhole joints, deteriorated sewer main lines and side sewer pipelines. Infiltration tends to be slower to enter the collection system and therefore it can last for a prolonged period.
  - **Groundwater sources include:**
    - Precipitation moving through the soil and into shallower pipes as it flows toward the groundwater table.
    - Deep sewer lines (groundwater enters sewer lines from groundwater table)



# Bucket Analogy

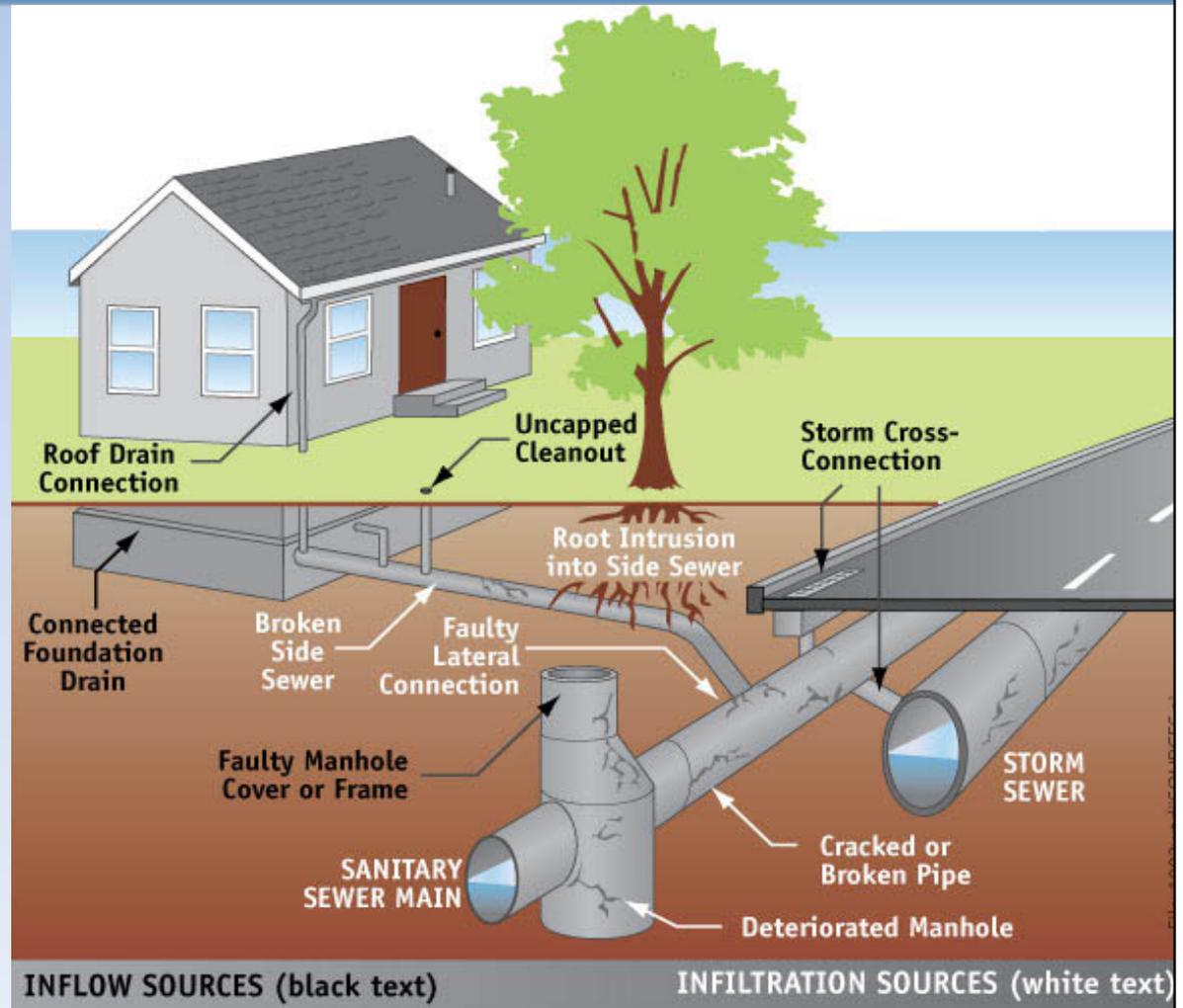
- An analogy for how to achieve effective I&I removal is to compare a sewer collection/conveyance system to a bucket.
- What happens with a bucket with thousands of holes if it is partially submerged in water – it quickly fills up.
- If some of the holes are patched, flow into the bucket is slowed but not significantly reduced until nearly all the holes are plugged.
- If you think of a sewer system as the bucket with holes in it, most of the holes in the sewer system also have to be plugged before meaningful I&I reduction is achieved.



# Typical Sources of I & I

- I&I is commonly expressed in gallons per acre per day.
- No collection system is ever completely free of I&I
- No rehabilitation program will ever completely eliminate I&I.

Graphic Source - King County Website  
<http://www.kingcounty.gov/environment/wastewater/II/What.aspx>



# History of I&I Removal

- Removing I&I from sewer systems gained momentum in 1972 after Congress passed Public Law 92-500.
- Initial I&I removal goals included removing excess I&I flows to allow smaller WWTP's to be constructed.
- In 1972, I&I removal was a new concept
  - Most I&I removal programs completed in the 1970's and early 1980's were not very successful in obtaining their goal.
- Current I&I removal programs focus on reducing flow to extend the existing WWTP's useful life.

# History of I&I Removal

- Poor I&I reduction results from the 1970's and early 1980's occurred because:
  - Lack of understanding about the extent and various causes within most collection systems
  - Failure to require property owners to replace side sewers on private property
  - Repairs often involved correcting only isolated leaks or replacing individual lines or small groups of lines, and
  - Often only chemical grouting of “defective” joints and cracks was done rather than sewer line and manhole replacement and/or repair.

# What is Excessive I&I

- Risk of public health and safety from collection and treatment system overflows/high flows.



Photo source – City of Portland Bureau of Environmental Services <http://www.portlandoregon.gov/bes/article/362812>

# What is Excessive I&I

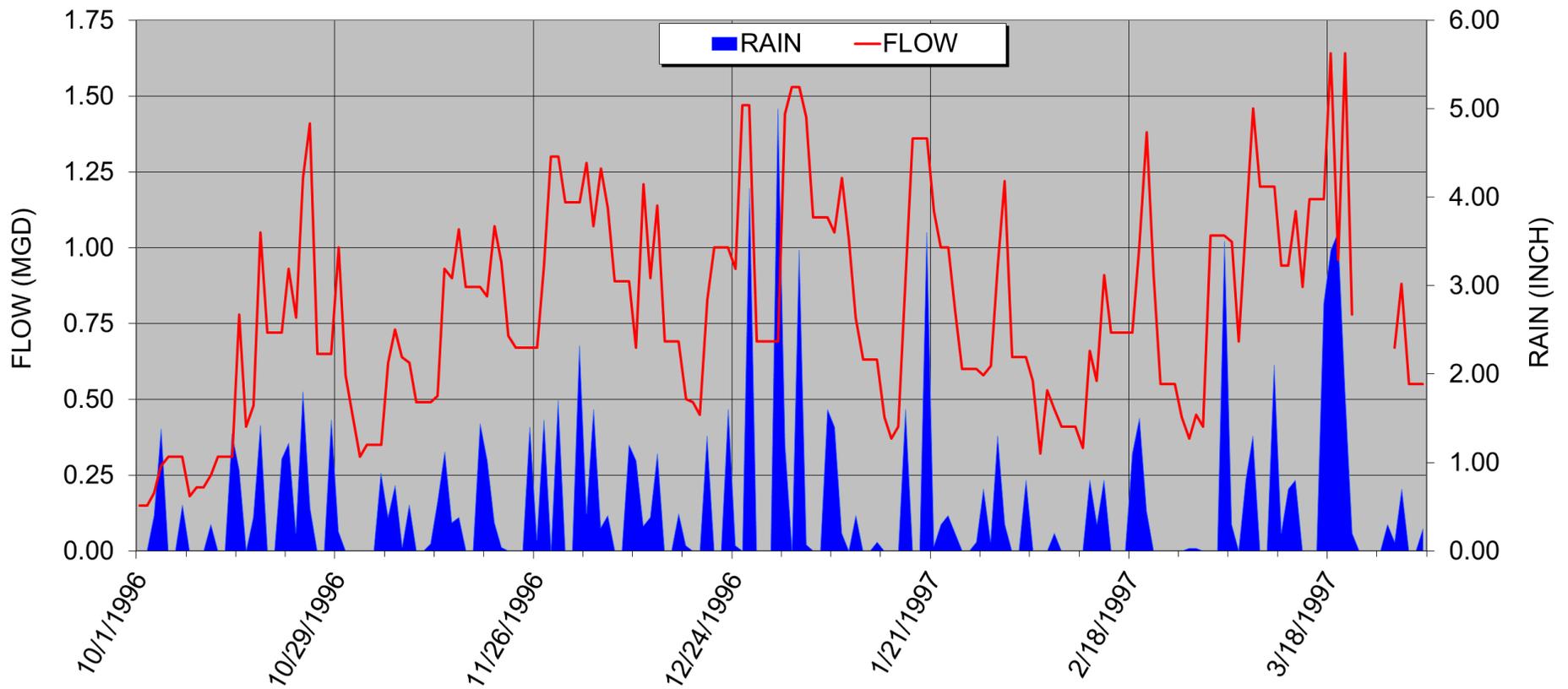
Another way to consider if I&I is excessive

- If cost to transport and treat the flow exceeds the cost to reduce.
  - Additional capital costs for larger piping, pumps, and treatment plant units (including higher energy, chemical and maintenance costs) compared to the cost to reduce I&I through collection system rehabilitation or reconstruction.
  - Additional on-going O&M costs – higher energy, chemical and maintenance costs – also need to be considered in this evaluation.
- Compare life cycle or PW costs for transport/treatment versus collection system work to reduce I&I.



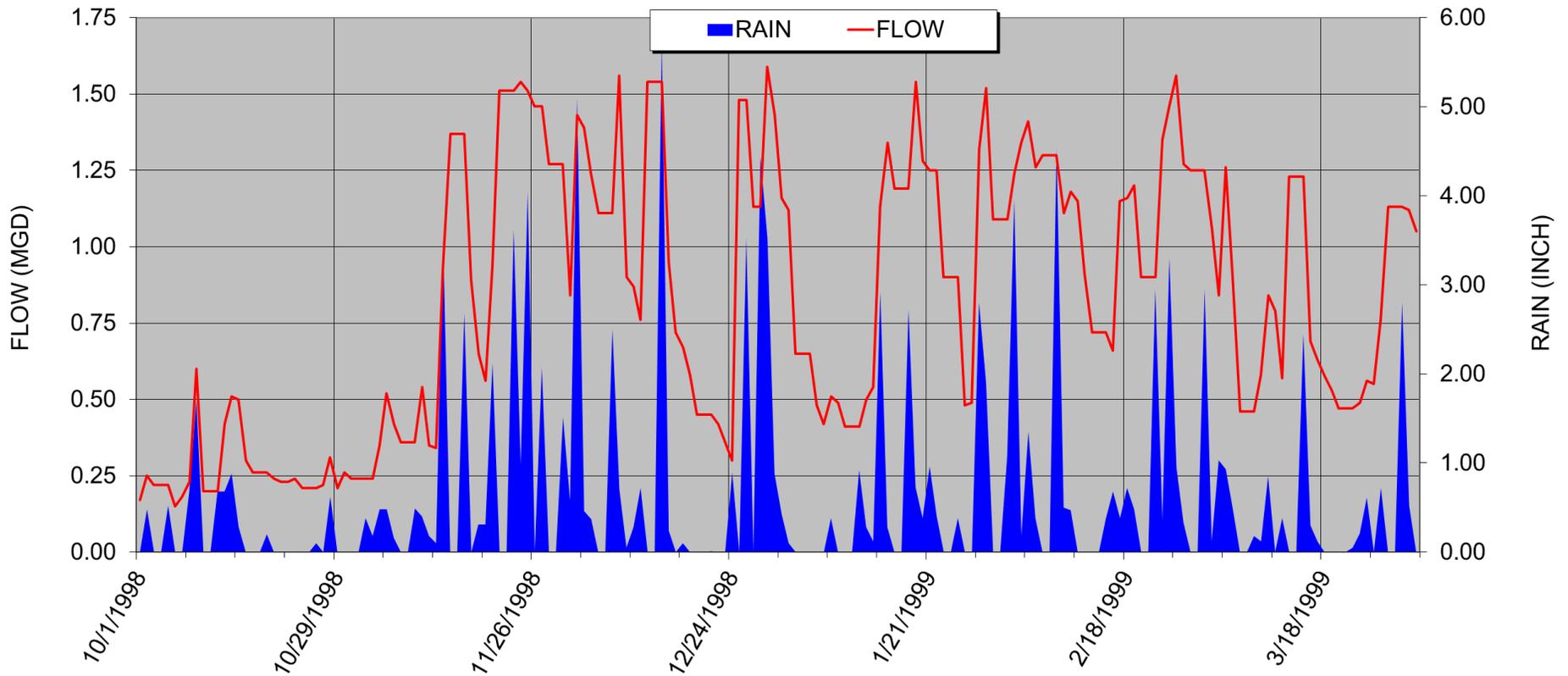
# Flow & Rainfall Data | Ryderwood, WA

DAILY WWTP INFLUENT FLOW vs. DAILY RAIN OCTOBER 1996 TO APRIL 1997



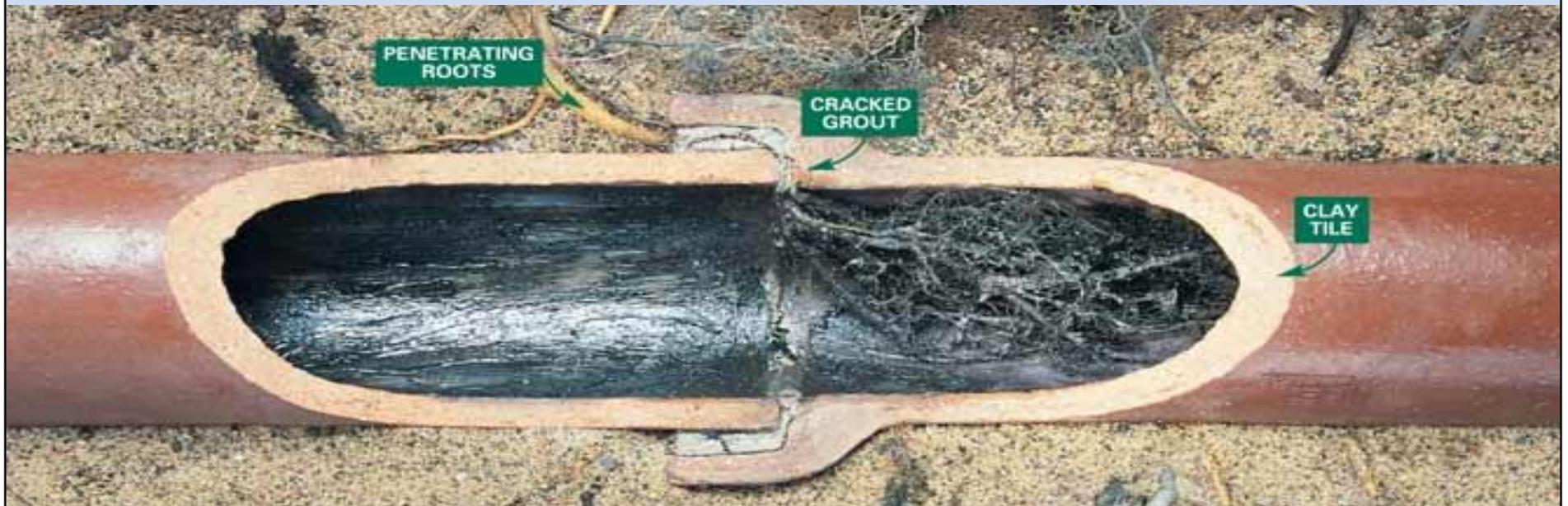
# Flow & Rainfall Data | Ryderwood, WA

DAILY WWTP INFLUENT FLOW vs. DAILY RAIN OCTOBER 1998 TO APRIL 1999



# Pipe Material & Age

- Prior to the 1960's most sewer mainline pipe was constructed of concrete, clay, or asbestos cement (AC) pipe
- Pipe lengths were as short as 3-feet = LOTS OF JOINTS (bucket holes)!
- Joints were commonly “sealed” with cement grout or tar.



# Pipe Material & Age

- These pipe materials have a high joint failure rate (90%-100%)
- Continued deterioration of pipe joints creates an under drain system for the overlying soil profile. These pipelines are certain to be significant sources of infiltration.
- Concrete and AC pipe w/ rubber gasketed joints began being installed in the 1960's. PVC started being used in the 1970's.
- Rubber gasketed joints and longer PVC pipe lengths (less joints – less bucket holes) – are significantly better at keeping infiltration from entering the system.

# Sample of Fiber or “Orangeburg” Pipe

- Majority of private property side sewer pipes constructed between 1948-1972 were installed using fiber or “Orangeburg” pipe.

Sample of Orangeburg Pipe, 4" Diameter (AZ Water Collection System History Exhibit).  
Source: Department of Public Works, City of Orangeburg, SC. Courtesy of William Zorn. Photograph by Jan McDonald.



# Fiber Pipe

- Made from bituminous fiber of asphalt impregnated layers of wood pulp and pitch, rolled and pressed under high pressure into a pipe, essentially a pipe made of tar paper.
- Deforms over time, water exposure weakens pipe.
- As pipe deteriorates; clogging, tree root intrusion, and total pipe collapse can occur.
- Significant source of infiltration with a failure rate between 70%-100%
  - If you have any remaining side sewers in your system with this type of pipe replacement should be a high priority.

# PVC Pipe

- Most main lines and side sewers installed since the 1970's are PVC with rubber gasketed joints (side sewers often use glued joints).
- An occasional joint failure can occur if a gasket is improperly seated during construction. Generally found when pipelines are air tested.
- Most common sources of infiltration in systems with this type of pipe and joints are:
  - Connections at manholes
  - Connections of side sewers at the property line
- Although sewer lines, even new lines, cannot be made 100% “water-tight”, rubber gasketed PVC pipe has demonstrated extremely low infiltration rates over the past 40 years.

# Lessons Learned | Pipe & Joint Type

- 1988 West Longview Pilot Study performed by Gibbs & Olson for the City of Longview, Washington
- Study goal was to determine which types of main line sewer pipes were most likely to experience infiltration.
- Joints were air tested on main lines and private property side sewers
  - Concrete pipe w/ tar filled joints installed in the 1950's – 92% failure rate
  - Concrete pipe w/ rubber gasketed joints installed in 1960's – 4% failure rate
  - AC pipe w/ rubber gasketed joints – 3% failure rate
  - PVC pipe w/ rubber gasketed joints – 0% failure rate
  - Side sewers w/ fiber pipe – 72% failure rate



# Lessons Learned | Side Sewer Impacts

- 1994 LOTT I&I Removal Study performed by Gibbs & Olson
  - Identified three types of infiltration: Immediate, Near term, and Long-term
    - Immediate – causes the sewer system to experience a rapid increase in flows in response to a storm event.
    - Near term – infiltration that enters the system over a period of days after a specific storm event
    - Long-term – infiltration that enters the system slowly and is influenced by high groundwater levels and rainfall for several previous months
  - Study also indicated that if private property side sewers are not addressed as part of the I&I removal effort, the amount of I&I removed will be significantly lower.

# Lessons Learned | Side Sewer Impacts

- 1997 City of Olympia I&I Removal Project – 2 Phase Project
  - Goal to determine effectiveness of replacing main line and side sewers from the main to property line vs. replacing private property side sewers
  - Extensive flow monitoring was conducted before, during and after the sewer rehabilitation work was completed.
  - Phase 1 replaced only main line and side sewers from the main to the property line. This resulted in:
    - Reduced peak hourly flow by 17%
    - Reduced peak day flow by 32%
    - Reduced peak month flow by 64%



# Lessons Learned | Side Sewer Impacts

- 1997 City of Olympia Removal Project – 2 Phase Project
- Phase 2 replaced only private property side sewers. This resulted in:
  - Reduced peak hourly flow from 17% to 67%
  - Reduced peak day flow from 32% to 58%
  - Reduced peak month flow remained at 64%
- Results of the Olympia project demonstrated
  - Peak hour and peak day I&I enters sewers through shallow sewer lines and that private side sewers are a significant source of I&I
  - Peak month I&I is from elevated groundwater table and dewatering the soil profile above the mainline pipe



# Lessons Learned | Side Sewer Impacts

- King County Regional I&I Control Program Pilot Project
  - 6 Year Study from 1999-2004 – goals were to:
    - Identify sources of I&I
    - Identify methods of reduce I&I
    - Identify the most cost-effective I&I reduction strategies
- Included pre and post flow monitoring to document effectiveness of implemented I&I reduction measures

Source: <http://www.kingcounty.gov/environment/wastewater/II/Resources/Reports/AlternativeOptions.aspx>



# Lessons Learned | Side Sewer Impacts

## King County Regional I&I Control Program Pilot Project

Component	Origin	Ownership
Fast Response Inflow	Direct connection of stormwater sources	Private/Public
Rapid Infiltration	Leaking side sewers & shallow sewer mains, sump pumps, foundation drains, manhole chimneys, and connected storm drains	Private/Public
Slow Infiltration	Leaking deeper sewer mains, manholes & deep laterals	Public
Base Infiltration	Deep sewer mains & MH bases	Public

# Lessons Learned | Side Sewer Impacts

- King County Regional I&I Control Program Pilot Project
  - Modeling completed in 2003 & 2004 for approx. 800 mini-basins
    - 85% of total 20-year peak I&I is either fast response inflow (52%) or rapid infiltration (33%) – strong indication that private property sources account for significant portion of I&I.



# Lessons Learned | Side Sewer Impacts

- King County Regional I&I Control Program Pilot Project
  - Private Property I&I Reduction Effectiveness
    - Nearly 100% of private property side sewers replaced in the Kent pilot basin with a 78% reduction in basin's total 20 year peak I&I flow
    - Approx. 72% of private property side sewers replaced in the Ronald pilot basin with a 74% reduction in basin's total 20 year peak I&I flow.



# Attorney General Ruling | Side Sewers

- Washington Attorney General's Office issued an opinion on August 27, 2009 indicating municipal sewer districts have statutory authority to use public fund to repair/replace side sewers located on private property if doing so will increase sewer capacity by reducing infiltration and inflow.

<http://www.atg.wa.gov/AGOOpinions/Opinion.aspx?section=archieve&id=23724>



# Working with Private Property Owners

- Inform owners of expected failure rates and costs to test their side sewer.
- Determine type of side sewer through age of residence, building permit or by potholing.
- An approach that has worked well in on past projects in Kelso & Chehalis once side sewer determined, then property owner decides if they want tested
- If side sewer passes test, utility pays for testing.
- If side sewer doesn't pass, owner pays for testing and replacement of the side sewer.



# Working with Private Property Owners

- Replacement costs for private property side sewers are variable.
  - Length and depth of pipe (generally 2-5 feet deep).
  - Type and extent of landscaping or other improvements over the line.
  - Topography of the site
  - If the property owner does the work or hires a contractor.
    - If property owner replaces cost can be less than \$1,000.
    - If contractor is hired costs typically range between \$1,500-\$3,000
      - Other projects have indicated side sewer replacement costs of \$5,000-6,000



# Preliminary Screening Hierarchy

- Mainlines, manholes and side sewers installed prior to 1960
- Side sewers – both public and private portions - installed prior to 1972
- Concrete pipe and AC pipe installed in the 1960s and 1970s
- Mainlines and side sewers constructed of PVC will typically not be considered for I&I removal.



# Prioritizing I&I Removal

- Approach to I&I Removal in Large Collection Systems
  - Divide into smaller basins and conduct flow monitoring to determine the I&I from each basin.
  - Opinions of cost are prepared for each basin and basin are then prioritized (least to most expensive).
  - Cost of removal is then compared with cost of treatment.
  - If I&I removal is shown to be cost effective then work is started in the most cost effective basin. All work is done within this basin before moving to the next basin.
  - If I&I is widespread then may want to focus on first replacing side sewers, then replacing the more expensive main lines.

# Prioritizing I&I Removal

- Recommended that initial flow monitoring and opinions of cost be performed to establish a systematic approach to I&I removal.
  - This data can help demonstrate to governing bodies, funding sources, and the general public that the “biggest bang for the buck” is achieved.
  - This data can also be utilized after project completion to document the amount of I&I removed.

# Prioritizing I&I Removal

- Once a decision is made to move forward with rehabilitation or replacement of the sewer system in a basin additional investigation may be necessary:
  - Review of the basin's pipe system inventory
  - Smoke testing & dye testing to locate defective MH's, storm drain connections, and defective side sewers.
  - TV inspection of pipelines to identify/verify active side sewers, joints with root intrusion, sections of mainline with structural damage & storm connections

Source: <http://www.portlandoregon.gov/bes/article/362812>



# Prioritizing I&I Removal

- During the preliminary design of each rehabilitation project, other construction methods of sewer system construction, in addition to dig and replace, should be considered.
- These include pipe bursting, and cured in place pipe liners.
- For the most part, these technologies are more expensive when large quantities of pipe are scheduled to be replaced.
- These technologies are generally more applicable where difficult site conditions either prevent the use of dig and replace construction, or cause the replacement cost to be excessive.
  - Pipe bursting with HDPE pipe can also be cost effective for smaller diameter and shallower private property side sewers

# Effective I&I Removal Project Example

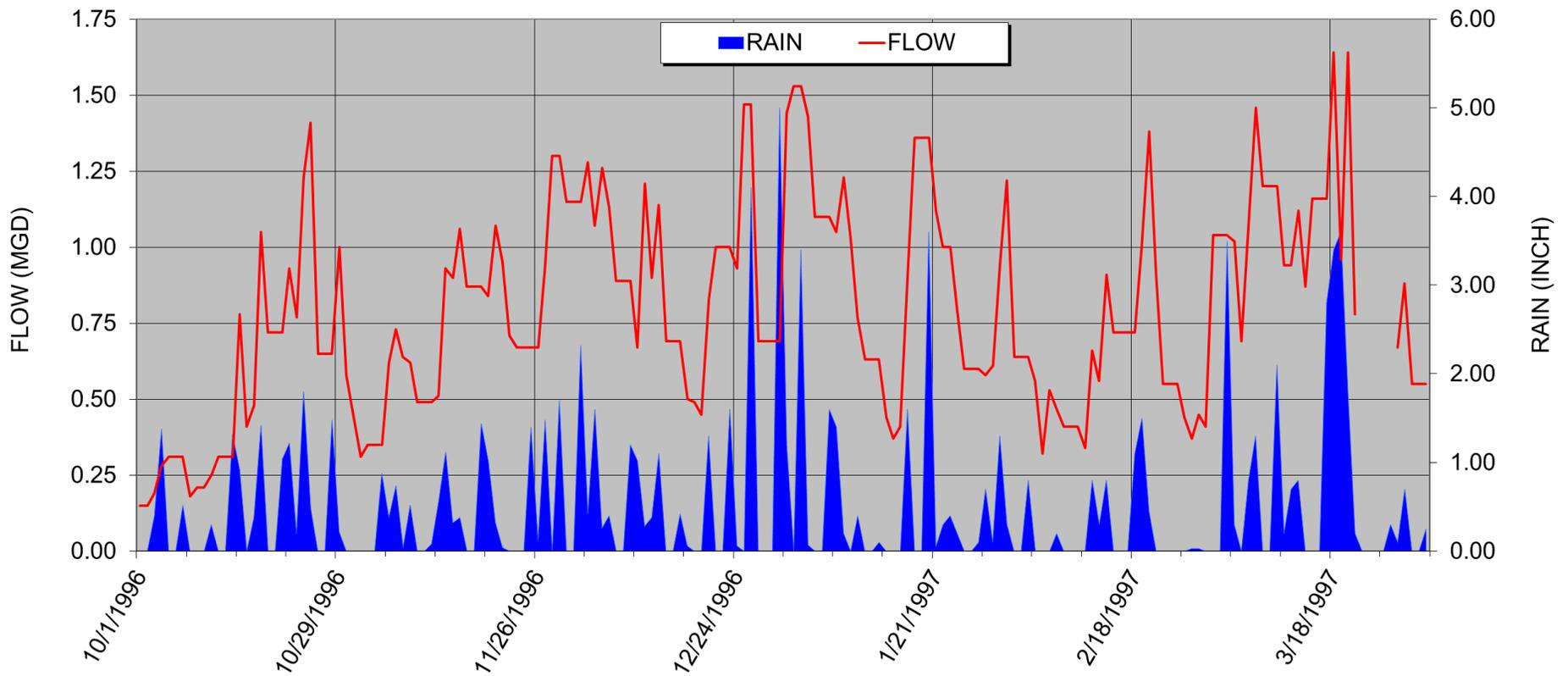


# Effective I&I Removal Project Example



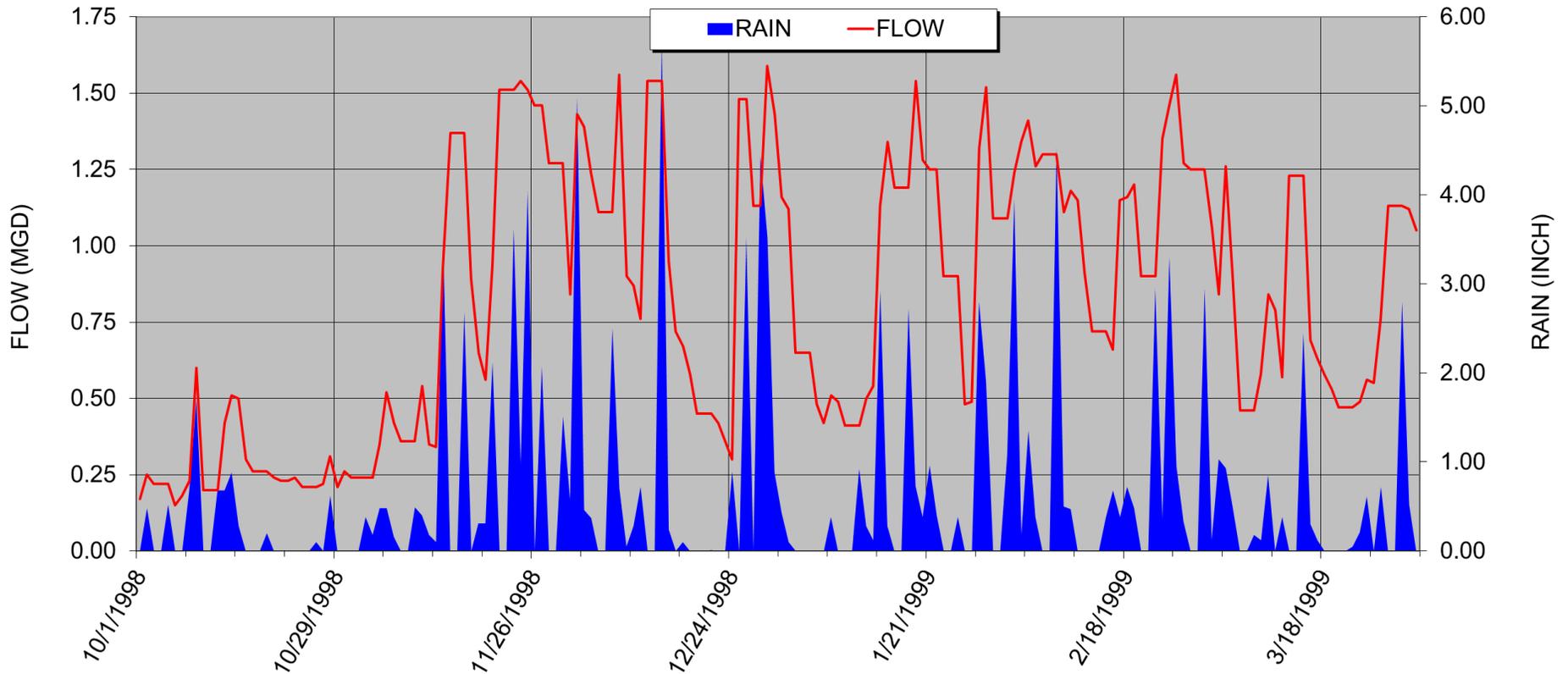
# Effective I&I Removal Project Example

DAILY WWTP INFLUENT FLOW vs. DAILY RAIN OCTOBER 1996 TO APRIL 1997



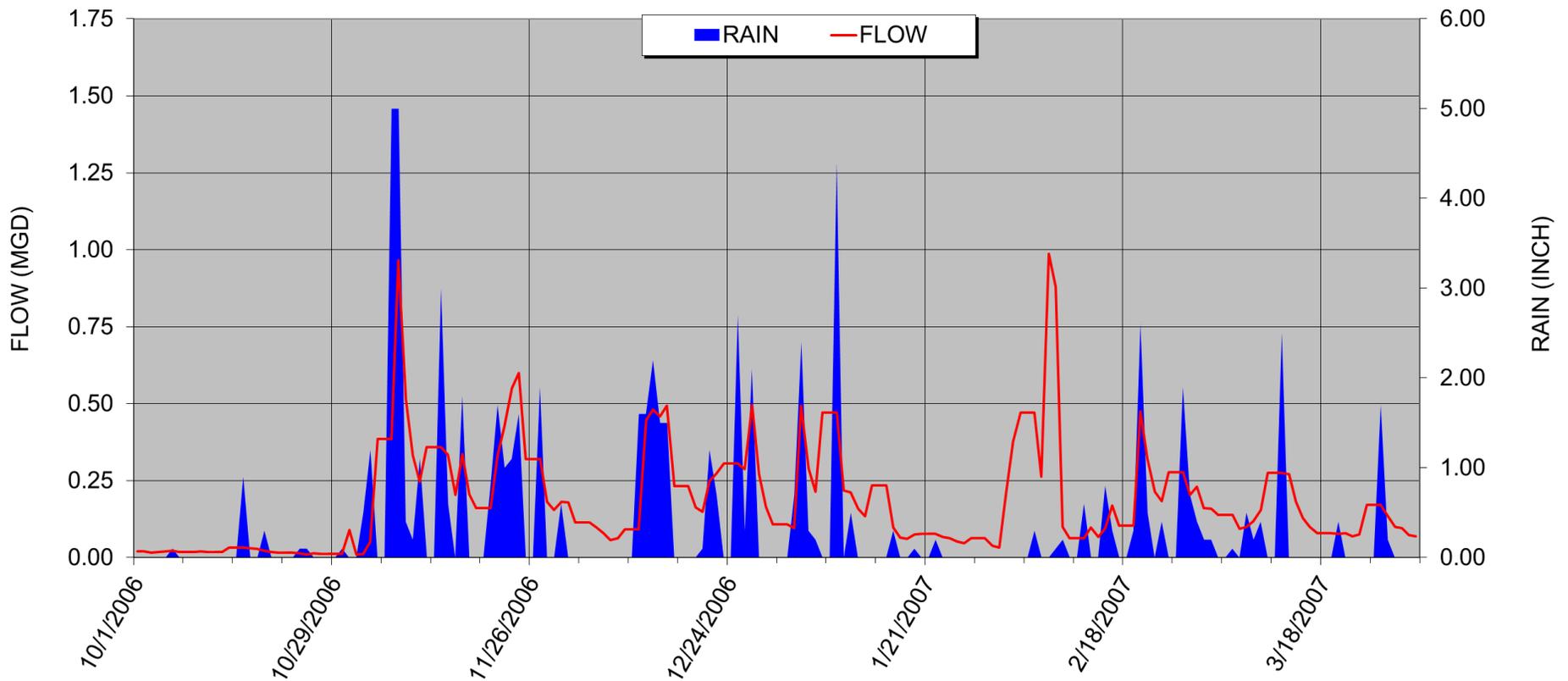
# Effective I&I Removal Project Example

DAILY WWTP INFLUENT FLOW vs. DAILY RAIN OCTOBER 1998 TO APRIL 1999



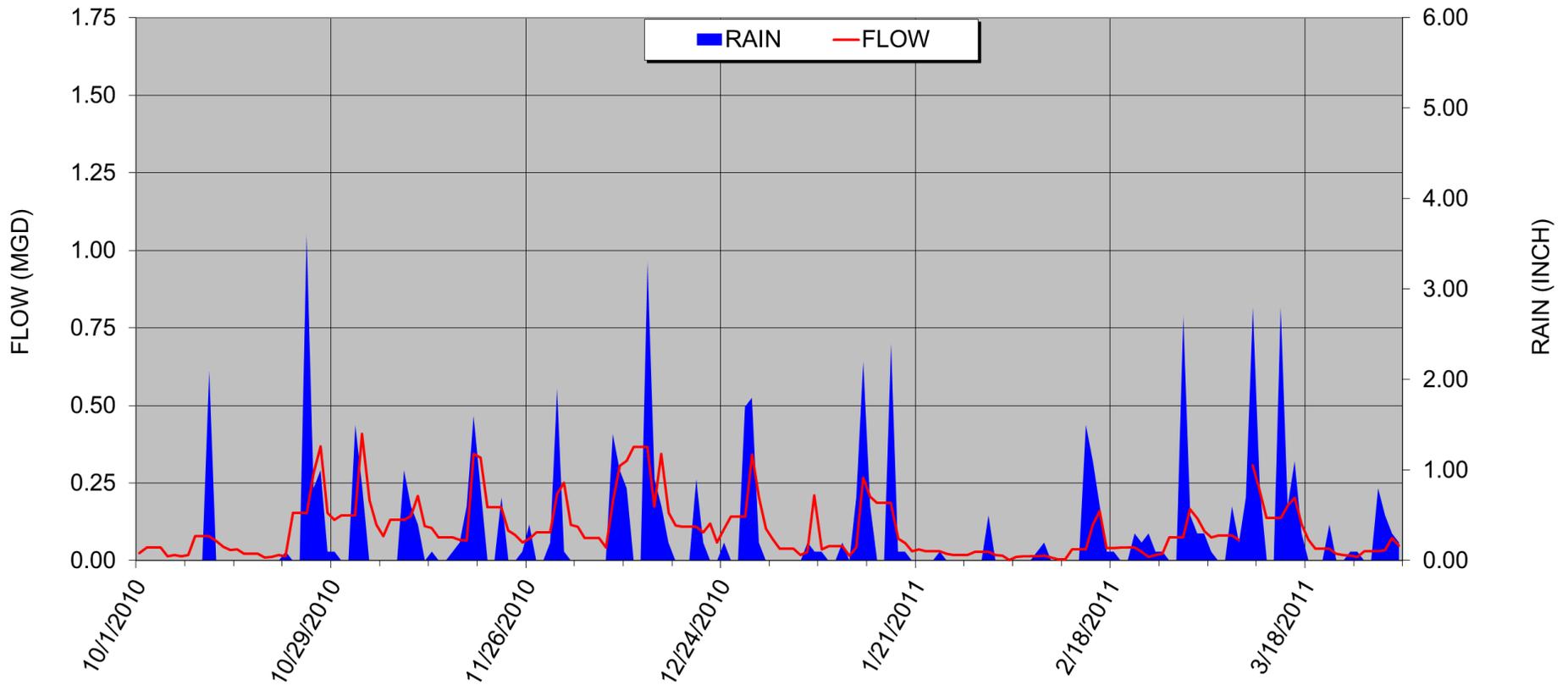
# Effective I&I Removal Project Example

DAILY WWTP INFLUENT FLOW vs. DAILY RAIN OCTOBER 2006 TO APRIL 2007



# Effective I&I Removal Project Example

DAILY WWTP INFLUENT FLOW vs. DAILY RAIN OCTOBER 2010 TO APRIL 2011



# Conclusion

- When considering I&I removal, remember the bucket.
  - With thousands of holes water flows in rapidly when the bucket is partially submerged
  - If some holes are plugged, flow into the bucket is slowed but not significantly reduced until nearly all the holes are plugged.
- 40 years of experience is now available to show how where to focus to achieve effective I&I removal.
- Effective I&I removal requires commitment and that older private property side sewers be replaced.



**Thank you!**

Questions?



**GIBBS & OLSON**

**[www.gibbs-olson.com](http://www.gibbs-olson.com)**